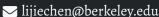
## **Computational Complexity Theory** Fall 2025

Time complexity and Hierarchy theorems: Part II September 4, 2025

### Lijie Chen

University of California, Berkeley



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- You goal is very simple: pick a frontier complexity paper and understand it.
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- Your survey should set up right context for this paper, explains the motivations, and give an overview of the main proof ideas.
- Some of the harder papers may require you to collaborate with others.

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- For each of the proof, the idea is to construct a hard language H such that, letting  $M_1, M_2, \ldots, M_k, \ldots$  be an enumeration of all Turing machines (for say DTIME[T(n)]), for every  $M_i$  there exists an input  $x_i$  such that  $M_i(x_i) \neq H(x_i)$ .

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- DTIME hierarchy theorem:  $H(\langle M_i \rangle) \neq M_i(\langle M_i \rangle)$ , i.e., the input  $x_i$  is the encoding of  $M_i$  itself.
- NTIME hierarchy theorem:  $H(1^t) \neq M_i(1^t)$  for some  $t \in [n_i, 2^{f(n_i)^2}]$ .

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- Infinite often separation (default): The language L is not in NTIME[T(n)] if and only if for all  $L' \in \text{NTIME}[T(n)]$ , for infinitely many input lengths n,  $L_n \neq L'_n$ . (here,  $L_n$  is the language L on input length n.)

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- Almost everywhere separation: For all  $L' \in \text{NTIME}[T(n)]$ , for all except finitely many n,  $L_n \neq L'_n$ .
- **Big open question**: prove an almost everywhere separation between  $NTIME[n^2]$  and  $NTIME[2^n]$ .

## Why is infinite often separation not enough?

• For the hard language H, suppose there is a NTIME[T(n)] machine M such that H(x) = M(x) for all x of length n, except when n is of the form  $2^{2^{2^{2^{k}}}}$  for some  $k \in \mathbb{N}$ .

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- This is allowed for infinite often separation (*H* can still be hard for NTIME[*T(n)*]). But "practically", *H* is easy for NTIME[*T(n)*].

#### Theorem

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- Same holds for NTIME[ $T(n) \cdot \log^2 T(n)$ ] and NTIME[T(n)].

### Proof

• **paddable encoding**: For simplicity, we assume that if a TM M is encoded as a binary string  $\langle M \rangle$ , then  $\langle M \rangle o^t$  represents the same machine M, for any  $t \in \mathbb{N}$ . (i.e., we can pad the encoding with any number of o.) Let  $\langle M \rangle_n = \langle M \rangle o^{n-|\langle M \rangle|}$ .

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- Let

$$H = \{ \langle M \rangle_n \mid M(\langle M \rangle_n) \text{ rejects in } |\langle M \rangle_n|^{1.5} \text{ steps, } n \geqslant |\langle M \rangle| \}$$

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• Can show  $H \in TIME[n^2]$  and, and it is almost-everywhere separated from TIME[n].

### Definition (Non-deterministic time with bounded guess)

• For a function  $T, G : \mathbb{N} \to \mathbb{N}$ , we define NTIMEGUESS[T(n), G(n)] to be the class of languages that can be decided by a non-deterministic multi-tape Turing machine in time O(T(n)) with making at most G(n) non-deterministic guesses.

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  - M decides L (i.e., M accepts x if and only if  $x \in L$ )
  - For all inputs x of length n, M halts within  $c \cdot T(n)$  steps and makes at most G(n) non-deterministic guesses.

## Theorem (Non-deterministic time hierarchy theorem with bounded guess)

• Let  $T, G, W \colon \mathbb{N} \to \mathbb{N}$  be time-constructible functions such that G(n) = o(T(n)) and W(n) = o(n). Then there is a language  $L \in NTIME[T(n)]$  but L is almost-everywhere separated from NTIMEGUESS[G(n), W(n)].

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• **Proof:** see the white board!

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- The running time includes all computation steps, including oracle queries.

### Definition (Oracle complexity classes)

• For an oracle  $\mathbb O$  and a time bound  $T: \mathbb N \to \mathbb N$ , we define DTIME [T(n)] to be the class of languages that can be decided by a deterministic  $\mathbb O$ -oracle multi-tape Turing machine in time O(T(n)).

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- Similarly, we can define NTIME  $^{\circ}[T(n)]$  for non-deterministic  $\circ$ -oracle Turing machines.

All previous results holds for all O-oracle Turing machines.

# Theorem (Time hierarchy theorem for oracle Turing machines)

• For any oracle O, and any time-constructible functions  $t_1$ ,  $t_2$  with  $t_1(n) \log t_1(n) = o(t_2(n))$ , we have:

$$DTIME^{\mathcal{O}}[t_1(n)] \subsetneq DTIME^{\mathcal{O}}[t_2(n)]$$

To prove this, we only need to show the existence of a universal Turing machine for O-oracle Turing machines.

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• Similarly, for any oracle  $\mathfrak{O}$ , and any time-constructible functions f, g with f(n+1) = o(g(n)), we have:

$$NTIME^{\mathfrak{O}}[f(n)] \subsetneq NTIME^{\mathfrak{O}}[g(n)]$$

To prove this, we only need to show the existence of a universal Turing machine for O-oracle Turing machines.

#### Theorem (Universal Oracle Turing Machine)

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  - o If  $M^{\mathcal{O}}$  runs in time t(n) on input x where |x|=n, then  $U^{\mathcal{O}}$  runs in time  $O(t(n)\log t(n))$  on input  $\langle M,x\rangle$

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- The simulation overhead is the same as in the non-oracle case, and oracle queries are handled transparently.

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- Conversely, if there exist oracles  $\mathcal{O}_1$  and  $\mathcal{O}_2$  such that  $T^{\mathcal{O}_1}$  is true but  $T^{\mathcal{O}_2}$  is false, then T cannot be proven using relativizing techniques alone.

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- **Example:** The time hierarchy theorems (deterministic and non-deterministic) relativize because their proofs work for any oracle ①.

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- A lot of early results in complexity theory are relativizing.

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  - ∘  $P^{O_2} \neq NP^{O_2}$  (P does not equal NP relative to oracle  $O_2$ )
- **Consequence:** The P vs NP question cannot be resolved using relativizing proof techniques alone.
- This creates a **relativization barrier** any proof technique that works equally well for all oracles cannot settle P vs NP.

# Constructing $\circ$ such that $P^{\circ} = NP^{\circ}$

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•  $P^{O}$  and  $NP^{O}$  both equal to exponential time.

## Constructing O such that $P^O \neq NP^O$

### Definition (the OR-language $L^{\circ}$ )

For an oracle O, let

$$L^{\circ} := \{1^n \mid \exists x \in \{0,1\}^n \text{ with } 0(x) = 1\}.$$

•  $L^{\circ} \in NP^{\circ}$ : on input  $I^{n}$ , **guess**  $x \in \{0,1\}^{n}$  and **query**  $\mathcal{O}(x)$ ; accept iff the answer is 1.

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- $L^{\circ} \in \mathbb{NP}^{\circ}$ : on input  $1^{n}$ , **guess**  $x \in \{0, 1\}^{n}$  and **query**  $\mathfrak{O}(x)$ ; accept iff the answer is 1.
- Intuition: any  $P^{O}$  machine on input  $I^{n}$  can ask only **polynomially many** length-n oracle questions, not enough to find a hidden x s.t. O(x) = 1.

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### Definition (the OR-language $L^{\circ}$ )

For an oracle O, let

$$L^{\circ} := \{ \mathbf{1}^n \mid \exists x \in \{0, 1\}^n \text{ with } \mathcal{O}(x) = 1 \}.$$

- $L^{\circ} \in \mathbb{NP}^{\circ}$ : on input  $1^{n}$ , **guess**  $x \in \{0, 1\}^{n}$  and **query**  $\mathfrak{O}(x)$ ; accept iff the answer is 1.
- Intuition: any  $P^{O}$  machine on input  $I^{n}$  can ask only **polynomially many** length-n oracle questions, not enough to find a hidden x s.t. O(x) = 1.
- Proof: see the white board!

## Relativization Barrier and query complexity

- The point of the proof above is that any  $P^{\circ}$  machine on input  $I^n$  can ask only **polynomially query** length-n oracle questions, this is far from enough for solving OR of  $2^n$  bits.
- Query complexity: Given  $N = 2^n$  bits and a function  $f: \{0,1\}^N \to \{0,1\}$ , the query complexity of f is the minimum number of queries to f that a deterministic algorithm needs to compute f on all inputs.
- What's the query complexity of OR? How about AND? MAJ?
- Query complexity lower bound implies the Relativization Barrier.

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